



Practitioner's Docket No. HES 2003-IP-009930U1

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent application

of \_\_\_\_\_ Inventor(s)  
for \_\_\_\_\_ Title of invention

**OR**

In re application of: Frank Zamora et al.

Application No.: 0 10 /630,083

Group Art Unit: unknown

Filed: July 30, 2003

Examiner: unknown

For: Systems and Methods for Placing A Braided, Tubular Sleeve In A Well Bore

**Mail Stop Amendment**

**Commissioner for Patents**

P.O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

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I hereby certify that, on the date shown below, this correspondence is being:

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**37 C.F.R. § 1.8(a)**

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**TRANSMISSION**

facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Sheila Gibbs

Signature

Sheila Gibbs

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

**NOTE:** 37 C.F.R. 1.98(b):

- (1) *Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.*
- (2) *Each U.S. patent application publication listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.*
- (3) *Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.*
- (4) *Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.*
- (5) *Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.*

**WARNING:** No extension of time can be had under 37 C.F.R. § 1.136 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

**NOTE:** The "filing date of a national application" under 37 C.F.R. § 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 C.F.R. § 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. § 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the basic national fee; (2) a copy of the international application, unless already sent by the International Bureau, and optionally an English translation if filed in another language; and, also optionally (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. The optional items must be submitted later, with surcharges. 37 C.F.R. § 1.97(b)(2).

## **IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

**NOTE:** "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."

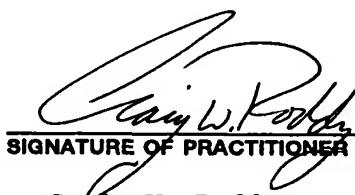
**NOTE:** "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39). See also § 609, M.P.E.P., 8th Edition.

**NOTE:** "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 2 of 3)

**NOTE:** "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

**WARNING:** "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.



**SIGNATURE OF PRACTITIONER**

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(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 3 of 3)



PATENT  
HES 2003-IP-009930U1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Frank Zamora et al. )  
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                                       )  
                                       ) Art Unit: Unknown  
                                       )  
Serial No.: 10/630,083             )  
                                       )  
Filed: July 30, 2003                 )                                     Examiner: Unknown  
                                       )  
For: SYSTEMS AND METHODS FOR     )  
PLACING A BRAIDED, TUBULAR     )  
SLEEVE IN A WELL BORE             )

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SIR:

The following documents are known to Applicants or Applicants' attorneys and are submitted for the Examiner to consider in the above-captioned application.

**U. S. PATENTS**

U.S. Patent No. 3,070,178 issued December 25, 1962 to J.W. Graham et al.

U.S. Patent No. 3,419,080 issued December 31, 1968 to M.P. Lebourg.

U.S. Patent No. 4,770,562 issued September 13, 1988 to Hans Muller et al.

U.S. Patent No. 5,265,648 issued November 30, 1993 to Lyman R. Lyon.

U.S. Patent No. 5,348,096 issued September 20, 1994 to Jerry G. Williams.

U.S. Patent No. 5,507,346 issued April 16, 1996 to John C. Gano et al.

U.S. Patent No. 5,901,789 issued May 11, 1999 to Martin Donnelly et al.

U.S. Patent No. 5,908,049 issued June 1, 1999 to Jerry G. Williams et al.

U.S. Patent No. 5,971,071 issued October 26, 1999 to Paul Mariaggi et al.

U.S. Patent No. 6,065,540 issued May 23, 2000 to Bart Thomeer et al.

U.S. Patent No. 6,089,276 issued July 18, 2000 to Yasuo Miyazaki et al.

U.S. Patent No. 6,296,066 B1 issued October 2, 2001 to James B. Terry et al.

U.S. Patent No. 6,357,485 B2 issued March 19, 2002 to Peter A. Quigley et al.

U.S. Patent No. 6,390,192 B2 issued May 21, 2002 to James M. Doesburg et al.

U.S. Patent No. 6,415,509 B1 issued July 9, 2002 to Ralph H. Echols et al.

U.S. Patent No. 6,454,493 B1 issued September 24, 2002 to Wilhelmus C. M. Lohbeck.

U.S. Patent No. 6,629,547 B1 issued October 7, 2003 to Koji Yamaguchi et al.

U.S. Patent No. 6,706,348 B2 issued March 16, 2004 to Peter A. Quigley et al.

U.S. Patent No. 6,742,586 B2 issued June 1, 2004, to J. Eric Lauritzen et al.

U.S. Patent No. 6,745,841 B2 issued June 8, 2004 to Neil A.A. Simpson.

#### **UNITED STATES PATENT APPLICATION PUBLICATIONS**

U.S. Patent Application Publication No. US 2003/0056948A1 published March 27, 2003  
to John A.M. Cameron.

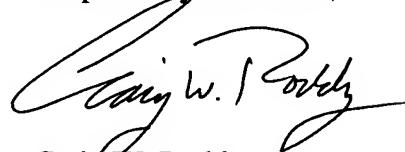
U.S. Patent Application Publication No. US 2003/0145992 a1 published August 7, 2003  
to Peirre-Yves Corre et al.

U.S. Patent Application Publication No. US 2004/0035590 A1 published February 26,  
2004 to Bennett M. Richard

U.S. Patent Application Publication No. US 2004/0060695 A1 published April 1, 2004 to  
Ana M. Castano-Mears et al.

Form PTO-1449 is submitted herewith.

Respectfully submitted,



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Sheet 1 of 3

<b>FORM PTO-1449</b> (Modified)		ATTY. DOCKET NO. 2003-IP-009930U1	SERIAL NO. 10/630,083
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT Frank Zamora et al	
(Use several sheets if necessary)		FILING DATE July 30, 2003	GROUP Unknown

*U.S. PATENT DOCUMENTS*

EXAMINER INITIAL		Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	3,070,178	12/25/62	Graham et al.	175	17	
	AB	3,419,080	12/31/68	Lebourg	166	207	
	AC	4,770,562	19/13/88	Muller et al.	405	154	
	AD	5,265,648	11/30/93	Lyon	138	98	
	AE	5,348,096	09/20/94	Williams	166	384	
	AF	5,507,346	04/16/96	Gano et al.	166	285	
	AG	5,901,789	05/11/99	Donnelly et al.	166	381	
	AH	5,908,049	06/01/99	Williams et al.	138	125	
	AI	5,971,071	10/26/99	Mariaggi et al.	166	295	
	AJ	6,065,540	05/23/00	Thomeer et al.	166	297	
	AK	6,089,276	07/18/00	Miyazaki et al.	138	98	

*FOREIGN PATENT DOCUMENTS*

		Document No.	Date	Country	Class	Subclass	Translation
							Yes
	AL						
	AM						
	AN						
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	AP						

*OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)*

AR		
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EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 2 of 3

<b>FORM PTO-1449 (Modified)</b>  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		ATTY. DOCKET NO. 2003-IP-009930U1	SERIAL NO. 10/630,083
		APPLICANT Frank Zamora et al.	
		FILING DATE July 30, 2003	GROUP Unknown

*U. S. PATENT DOCUMENTS*

EXAMINER INITIAL		Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate
AA		6,296,066 B1	10/02/01	Terry et al.	175	92	
AB		6,357,485 B2	03/19/02	Quigley et al.	138	125	
AC		6,390,192 B2	05/21/02	Doesburg et al.	166	230	
AD		6,415,509 B1	07/09/02	Echols et al.	29	896.62	
AE		6,454,493 B1	09/24/02	Lohbeck	405	184.2	
AF		6,629,547 B1	10/07/03	Yamaguchi et al.	138	129	
AG		6,706,348 B2	03/16/04	Quigley et al.	428	36.3	
AH		6,742,586 B2	06/01/04	Lauritzen et al.	166	227	
AI		6,745,841 B2	06/08/04	Simpson	166	380	
AJ		US 2003/0056948	03/27/03	Cameron	166	206	
AK		US 2003/0145992	08/07/03	Corre et al.	166	302	

*FOREIGN PATENT DOCUMENTS*

		Document No.	Date	Country	Class	Subclass	Translation
							Yes
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	AN						
	AO						
	AP						

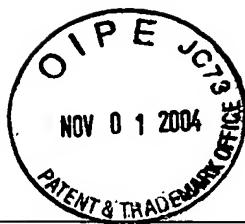
*OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)*

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Sheet 3 of 3

<b>FORM PTO-1449 (Modified)</b>  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		ATTY. DOCKET NO. 2003-IP-009930U1	SERIAL NO. 10/630,083
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*U. S. PATENT DOCUMENTS*

EXAMINER INITIAL	Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate
AA	US 2004/0035590	02/26/04	Richard	166	384	
AB	US 2004/0060695	04/01/04	Castano-Mears et al.	166	228	
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

*FOREIGN PATENT DOCUMENTS*

	Document No.	Date	Country	Class	Subclass	Translation
						Yes
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AM						
AN						
AO						
AP						

*OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)*

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EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

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